



2161 #4  
BT  
10-11-02

PATENTS  
2326/16 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

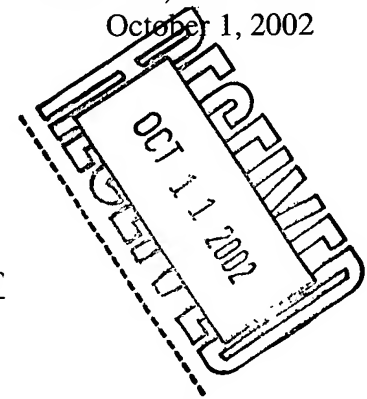
PATENT APPLICATION

Applicant : SLONE, Jonathan  
Application No. : 10/085,552  
Conf. No. : 9844  
Filed : February 28, 2002  
For : INTERNATIONAL TRADING OF SECURITIES  
Group Art Unit : 2161  
Examiner : McCray, Paula

RECEIVED  
OCT 08 2002  
GROUP 3600

New York, New York  
October 1, 2002

Commissioner for Patents  
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant wishes to make of record the documents listed in the chart below. A

Form PTO-1449 (in duplicate) and copies of the cited documents are enclosed.

U.S. PATENT DOCUMENTS

<u>Patent Number</u>	<u>Date</u>	<u>Inventor</u>
5,787,402	07/28/1998	Potter et al.
6,029,146	02/22/2000	Hawkins et al.
6,173,270 B1	01/09/2001	Cristofich et al.

Applicant requests that these documents be considered by the Examiner before issuance of the next Office Action and made of record in this file. The Examiner is also asked to initial and return a copy of the enclosed PTO-1449 form to evidence such consideration.

This Information Disclosure Statement is being filed in accordance with the following provision:

- ☒ 37 C.F.R. § 1.97(b)(3). To the best of the undersigned's knowledge, this Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits. No fee is required.

Certification Pursuant  
To 37 C.F.R. § 1.97 (e)

The information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the August 26, 2002 International Search Report on applicant's corresponding Patent Cooperation Treaty application PCT/US02/06583. A copy of that International Search Report is enclosed.

If it is determined that a fee is required in connection with this paper, please charge such fee (or credit any overpayment) to Deposit Account No. 50-0324. A duplicate copy of this paper is enclosed herewith.

<p align="center"><b>Certificate of Mailing</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:</p> <p>Commissioner for Patents Washington, D.C. 20231</p> <p>on <u>Oct 1, 2002</u> (Date)</p> <p>Typed or printed name of person signing this certificate: <u>Matthew T. Byrne</u></p> <p>Signature: <u>Matthew T. Byrne</u></p>
--

Respectfully submitted,

By: Matthew T. Byrne  
Matthew T. Byrne  
Registration No. 40,934  
Attorney for Applicant(s)  
SHEARMAN & STERLING  
599 Lexington Avenue  
New York, NY 10022  
(212) 848-4000

**RECEIVED**  
OCT 08 2002  
**GROUP 3600**

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 2326/16 US		SERIAL NO. 10/085,552	
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				APPLICANT SLONE, Jonathan		GROUP ART UNIT 2161	
				FILING DATE February 28, 2002			
Page 1 of 1							

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,787,402	07/28/1998	Potter et al.	705	37	
	6,029,146	02/22/2000	Hawkins et al.	705	35	
	6,173,270 B1	01/09/2001	Cristofich et al.	705	37	

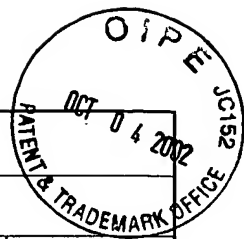
FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)			

EXAMINER	DATE CONSIDERED
----------	-----------------



**RECEIVED**  
 OCT 08 2002  
**GROUP**